

**LISTING OF THE CLAIMS**

1 1. (Previously Presented) A bone fixation apparatus for a rod system comprising:  
2 a bone anchor having a proximal end for engaging a driving device and a distal  
3 end for engaging a bone;  
4 a rod having a diameter defining a first dimension;  
5 a housing coupled to said proximal end of said bone anchor having opposed  
6 spaced-apart flanges extending longitudinally defining a channel therebetween  
7 for receiving said rod, said flanges having an outer surface and an inner  
8 surface having female threads at a portion therein;  
9 a locking assembly for locking said rod to said housing in contact with said  
10 proximal end of said bone anchor;  
11 wherein said locking assembly further comprises an upper cap and a lower cap  
12 joined by a post and rotatable relative one to the other, wherein said upper cap  
13 is a generally cylindrical member having an outer surface containing at least a  
14 portion of male threads thereon and an inner cavity and an upper surface and a  
15 lower surface and wherein said inner cavity further comprises an opening to  
16 receive said post; and wherein said lower cap comprises an upper surface and  
17 a first lower semi-cylindrical surface for engaging said rod;  
18 an extension member extending radially from said lower cap, wherein said  
19 extension member has a second lower semi-cylindrical surface, and wherein  
20 said extension member has a width that is substantially similar to said first  
21 dimension;  
22 wherein said post is provided with an outer perimeter with a first profile and said  
23 opening is provided with a second profile that is geometrically similar; and  
24 wherein said rod is insertable into said channel of said housing and said locking  
25 assembly is thereafter insertable into said channel of said housing, and  
26 wherein a driving instrument is insertable into said inner cavity of said upper  
27 cap and rotates said upper cap relative to said lower cap about said post,

28           thereby engaging said at least a portion of male threads with said female  
29           threads, thus causing said locking assembly to translate longitudinally toward  
30           said distal end of said anchor into a forcing relationship with said rod, thus  
31           forcing said rod into locking relationship with said proximal end of said  
32           anchor; and

33           wherein said threads on said upper cap and said threads in said flanges further  
34           comprise at least one start thread timed to properly engage each other when  
35           said extension is located within said channel.

2. (Original) The bone fixation system of claim 1 wherein said bone anchor is a screw.
3. (Original) The bone fixation apparatus of claim 2 wherein said housing is fixed to  
          said proximal end of said bone anchor so as to prevent angulation of said bone  
          anchor.
4. (Currently Amended) The bone fixation system of claim 3 wherein said ~~lower cap~~  
          ~~further comprises an extension member of said lower semi cylindrical surface~~  
          ~~is dimensioned to only~~ reside within said channel.
5. (Canceled)
6. (Original) The bone fixation system of claim 1 wherein said bone anchor is a hook.
7. (Original) The bone fixation apparatus of claim 6 wherein said housing is fixed to  
          said proximal end of said hook so as to prevent angulation thereof.
8. (Currently Amended) The bone fixation system of claim 7 wherein said ~~lower cap~~  
          ~~further comprises an extension member of said lower semi cylindrical surface~~  
          ~~is dimensioned to only~~ reside within said channel.
9. (Canceled)
10. (Currently Amended) The bone fixation system of claim 1 wherein said bone anchor  
          is a polyaxial screw further comprising a head disposed at said ~~distal proximal~~

end having a conic or aspheric upper surface and a partially spherical lower surface and defining a periphery at a junction of said upper and lower surfaces.

11. (Currently Amended) The bone fixation system of claim 10 wherein said ~~lower cap~~ further comprises an extension member of said lower semi cylindrical surface is dimensioned to only reside within said channel.
12. (Canceled)
13. (Currently Amended) The bone fixation system of claim 1[[1]]0 wherein said proximal end of said polyaxial screw further comprises a depression disposed about said periphery.
14. (Currently Amended) The bone fixation system of claim 1[[1]]3 wherein said inner surface of said flanges further comprise a[[n]] fixed appurtenance projecting substantially radially inwardly.
15. (Currently Amended) The bone fixation system of claim 1[[1]]4 wherein said polyaxial screw is removable from said housing when said depression aligns with said appurtenance, but is not removable when said depression is not aligned with said appurtenance.

1       16. (Withdrawn) A bone fixation apparatus for a rod system comprising:  
2           a bone anchor having a proximal end for engagement with a driving device and a  
3           distal end for engagement with a bone;  
4           a housing coupled to said proximal end of said bone anchor having opposed  
5           spaced-apart flanges extending longitudinally and having a channel  
6           therebetween to receive a surgical rod therein, each flange having an outer  
7           surface and an inner surface and an undercut within said inner surface;  
8           a locking assembly for locking said surgical rod to said housing in contact with  
9           said proximal end of said bone anchor, further comprising an upper cap and a  
10          lower cap joined by a post and rotatable relative one to the other, wherein said  
11          upper cap is a generally cylindrical member having an outer surface and an  
12          inner cavity and an upper surface and a lower surface having camming  
13          surfaces thereon and wherein said inner cavity further comprises an opening  
14          to receive said post;  
15          wherein said outer surface has a first wing and a second wing projecting radially  
16          outwardly therefrom for insertion into said undercut to prevent removal of  
17          said locking assembly; and  
18          wherein said lower cap further comprises an upper surface having camming  
19          surfaces thereon for engagement with said camming surfaces of said upper  
20          cap and a lower semi-cylindrical surface for engaging said rod;  
21          wherein said rod is insertable into said channel of said housing and said locking  
22          assembly is thereafter insertable into said channel of said housing, and  
23          wherein a driving instrument is insertable into said inner cavity of said upper  
24          cap and rotates said upper cap relative to said lower cap about said post,  
25          thereby moving said camming surfaces of said upper cap relative to said  
26          camming surfaces of said lower cap, thus causing said lower cap to translate  
27          longitudinally toward said distal end of said anchor into a forcing relationship  
28          with said rod, thus forcing said rod into a locking relationship with said  
29          proximal end of said anchor.

17. (Withdrawn) The bone fixation apparatus of claim 16 wherein said bone anchor is a screw.
18. (Withdrawn) The bone fixation apparatus of claim 17 wherein said housing is fixed to said proximal end of said bone anchor so as to prevent angulation of said bone anchor.
19. (Withdrawn) The bone fixation apparatus of claim 18 wherein said undercut further comprises a slot adjacent thereto disposed radially outwardly of said undercut having an inner surface and an outer surface and longitudinal dimension greater than that of said undercut.
20. (Withdrawn) The bone fixation apparatus of claim 19 wherein said first wing and said second wing further comprise ridges located outwardly thereof.
21. (Withdrawn) The bone fixation apparatus of claim 20 wherein said ridges engage said inner surfaces of said slots in said flanges to prevent splaying.
22. (Withdrawn) The bone fixation apparatus of claim 21 wherein said post further comprises a first timing groove and a second timing groove disposed longitudinally thereon.
23. (Withdrawn) The bone fixation apparatus of claim 22 wherein said upper cap further comprises a projection in said opening.
24. (Withdrawn) The bone fixation apparatus of claim 23 wherein said upper cap and said first and second timing grooves are timed such that said projection mates with said first timing groove when said locking assembly is in an unlocked position and mates with said second timing groove when said locking assembly is rotated to a locked position.
25. (Withdrawn) The bone fixation apparatus of claim 24 wherein said upper cap further comprises a stop disposed adjacent said upper surface thereof and projecting

radially outwardly from said outer surface of said upper cap, said stop being located out of phase with said wings.

26. (Withdrawn) The bone fixation apparatus of claim 25 wherein said housing further comprises a depression disposed about said inner surface of said flanges.
27. (Withdrawn) The bone fixation apparatus of claim 26 wherein said stop engages said depression when said upper cap is rotated into a locked position.
28. (Withdrawn) The bone fixation apparatus of claim 16 wherein said bone anchor is a hook.
29. (Withdrawn) The bone fixation apparatus of claim 28 wherein said housing is fixed to said proximal end of said bone anchor so as to prevent angulation of said bone anchor.
30. (Withdrawn) The bone fixation apparatus of claim 29 wherein said undercut further comprises a slot adjacent thereto disposed radially outwardly of said undercut having an inner surface and an outer surface and longitudinal dimension greater than that of said undercut.
31. (Withdrawn) The bone fixation apparatus of claim 30 wherein said first wing and said second wing further comprise ridges located outwardly thereof.
32. (Withdrawn) The bone fixation apparatus of claim 31 wherein said ridges engage said inner surfaces of said slots in said flanges to prevent splaying.
33. (Withdrawn) The bone fixation apparatus of claim 32 wherein said post further comprises a first timing groove and a second timing groove disposed longitudinally thereon.
34. (Withdrawn) The bone fixation apparatus of claim 33 wherein said upper cap further comprises a projection in said opening.

35. (Withdrawn) The bone fixation apparatus of claim 34 wherein said upper cap and said first and second timing grooves are timed such that said projection mates with said first timing groove when said locking assembly is in an unlocked position and mates with said second timing groove when said locking assembly is rotated to a locked position.
36. (Withdrawn) The bone fixation apparatus of claim 35 wherein said upper cap further comprises a stop disposed adjacent said upper surface thereof and projecting radially outwardly from said outer surface of said upper cap, said stop being located out of phase with said wings.
37. (Withdrawn) The bone fixation apparatus of claim 36 wherein said housing further comprises a depression disposed about said inner surface of said flanges.
38. (Withdrawn) The bone fixation apparatus of claim 37 wherein said stop engages said depression when said upper cap is rotated into a locked position.
39. (Withdrawn) The bone fixation apparatus of claim 17 wherein said housing further comprises a separable body for lockable attachment to said proximal end of said screw.
40. (Withdrawn) The bone fixation apparatus of claim 39 wherein said separable body further comprises an upper portion having an outer diameter and an inner diameter and a lower portion having an outer diameter and an inner diameter and wherein said outer diameter of said lower portion is less than said outer diameter of said upper portion.
41. (Withdrawn) The bone fixation apparatus of claim 40 wherein said lower portion further comprises fingers oriented longitudinally and extending from said upper portion terminating at a distal end, wherein said fingers receive said proximal end of said screw in varying orientations.
42. (Withdrawn) The bone fixation apparatus of claim 41 wherein said distal end of said fingers define an inner diameter and an outer diameter.

43. (Withdrawn) The bone fixation apparatus of claim 42 wherein said lower portion further comprises a ring disposed externally on said fingers and slideable from an unlocked position to a locked position.
44. (Withdrawn) The bone fixation apparatus of claim 43 wherein said inner diameter of said ring at a bottom end thereof is slightly less than said outer diameter of said fingers such that as said ring is slid from said unlocked position to said locked position, said ring imparts a radial force on said fingers, thus locking said proximal end of said screw in a given orientation.
45. (Withdrawn) The bone fixation apparatus of claim 44 wherein said undercut further comprises a slot adjacent thereto disposed radially outwardly of said undercut having an inner surface and an outer surface and longitudinal dimension greater than that of said undercut.
46. (Withdrawn) The bone fixation apparatus of claim 45 wherein said first wing and said second wing further comprise ridges located outwardly thereof.
47. (Withdrawn) The bone fixation apparatus of claim 46 wherein said ridges engage said inner surfaces of said slots in said flanges to prevent splaying.
48. (Withdrawn) The bone fixation apparatus of claim 47 wherein said post further comprises a first timing groove and a second timing groove disposed longitudinally thereon.
49. (Withdrawn) The bone fixation apparatus of claim 48 wherein said upper cap further comprises a projection in said opening.
50. (Withdrawn) The bone fixation apparatus of claim 49 wherein said upper cap and said first and second timing grooves are timed such that said projection mates with said first timing groove when said locking assembly is in an unlocked position and mates with said second timing groove when said locking assembly is rotated to a locked position.

51. (Withdrawn) The bone fixation apparatus of claim 50 wherein said upper cap further comprises a stop disposed adjacent said upper surface thereof and projecting radially outwardly from, said outer surface of said upper cap, said stop being located out of phase with said wings.
52. (Withdrawn) The bone fixation apparatus of claim 51 wherein said housing further comprises a depression disposed about said inner surface of said flanges.
53. (Withdrawn) The bone fixation apparatus of claim 52 wherein said stop engages said depression when said upper cap is rotated into a locked position.

1       54. (Withdrawn) A bone fixation apparatus for a rod system comprising:  
2           a bone anchor having a proximal end for engagement with a driving device and a  
3           distal end for engagement with a bone;  
4           a housing coupled to said proximal end of said bone anchor having opposed  
5           spaced-apart flanges extending longitudinally and having a channel  
6           therebetween to receive a surgical rod therein, each flange having an outer  
7           surface and an inner surface, said outer surface having a circumferential  
8           groove therein;  
9           a locking assembly for locking said surgical rod to said housing in contact with  
10          said proximal end of said bone anchor, further comprising an upper cap and a  
11          lower cap joined by a post and rotatable relative one to the other, wherein said  
12          upper cap is a generally cylindrical member having an outer surface and an  
13          inner cavity and an upper surface and a lower surface having camming  
14          surfaces thereon and wherein said inner cavity further comprises an opening  
15          to receive said post;  
16          wherein said outer surface has a first wing and a second wing projecting radially  
17          outwardly therefrom for insertion into said circumferential groove in said  
18          outer surface of said housing to prevent removal of said locking assembly; and  
19          wherein said lower cap further comprises an upper surface having camming  
20          surfaces thereon for engagement with said camming surfaces of said upper  
21          cap and a lower semi-cylindrical surface for engaging said rod;  
22          wherein said rod is insertable into said channel of said housing and said locking  
23          assembly is thereafter insertable into said channel of said housing, and  
24          wherein a driving instrument is insertable into said inner cavity of said upper  
25          cap and rotates said upper cap relative to said lower cap about said post,  
26          thereby moving said camming surfaces of said upper cap relative to said  
27          camming surfaces of said lower cap, thus causing said lower cap to translate  
28          longitudinally toward said distal end of said anchor into a forcing relationship

29 with said rod, thus forcing said rod into a locking relationship with said  
30 proximal end of said anchor.

1 55. (Currently Amended) A bone fixation apparatus for a rod system comprising:  
2 a rod;  
3 a bone anchor having a first end for engaging bone, and a second end  
4 having a housing;  
5 said housing is provided with opposed spaced-apart flanges defining a  
6 channel therebetween for receiving said rod;  
7 a locking assembly having an upper cap and a lower cap;  
8 said flanges having an outer surface, and an inner surface having female  
9 threads for threadedly receiving said locking assembly;  
10 said lower cap having a first end with a concave profile that matingly  
11 engages said rod in one of two possible orientations, an extension  
12 member, and a second end having a post, said post having an outer  
13 perimeter with a first profile; wherein said extension member has a  
14 width that is substantially equal to a diameter of said rod; and wherein  
15 said extension member protrudes from ~~other structure~~ of said lower  
16 cap;  
17 said upper cap having a first end, a second end, an outer surface, and an  
18 opening through said first end and said second end, ~~and~~ said outer  
19 surface having one or more portions of male threads, said opening  
20 having an interior surface forming a second profile;  
21 wherein said first profile and said second profile are geometrically similar;  
22 and  
23 wherein no more than two orientations of said second profile relative to  
24 said first profile allows said upper cap to be received in said housing.

56. (Currently Amend) The bone fixation apparatus for a rod system of claim 55, wherein said post is symmetric about a longitudinal axis, said

longitudinal axis extending longitudinally and centrally through said post.

57. (Currently Amend) The bone fixation apparatus for a rod system of claim 55, wherein said post is symmetric about a longitudinal plane, said

longitudinal plane extending through the entirety of a longitudinal axis extending longitudinally and centrally through said post.

58. (Currently Amend) The bone fixation apparatus for a rod system of claim 55, wherein said post is asymmetric about a longitudinal axis, said

longitudinal axis extending longitudinally and centrally through said post.

59. (Currently Amend) The bone fixation apparatus for a rod system of claim 55, wherein said post is asymmetric about a longitudinal plane, said

longitudinal plane extending through the entirety of a longitudinal axis extending longitudinally and centrally through said post.

60. (Canceled)

61. (Previously Presented) The bone fixation apparatus for a rod system of claim

55, wherein said one or two orientations are also proper orientations to align said male threads with said female threads.

1    62. (Currently Amended) A bone fixation apparatus for a rod system comprising:  
2        a bone anchor having a first end for engaging bone, and a second end  
3                having a housing having an interior surface, wherein said interior  
4                surface is provided with female threads, and wherein said housing has  
5                a groove;  
6        a locking assembly having an upper cap and a lower cap;  
7        an extension member extending from said lower cap, said extension  
8                member having a width substantially similar to a width of said groove;  
9                ~~wherein said extension member protrudes from other structure of said~~  
10                ~~lower cap;~~  
11        said housing axially receiving said locking assembly, wherein said lower  
12        cap is received within said housing in no more than two orientations;  
13        said lower cap having a first end, and a second end, said second end  
14                having a post protruding therefrom;  
15        said upper cap having a first end, a second end, an outer surface, and an  
16                opening through said first and second end, and said outer surface  
17                having one or more portions of male threads;  
18        wherein one or two orientations of said opening relative to said extension  
19        member allows said upper cap to be axially received in said housing.

63. (Currently Amended) The bone fixation apparatus for a rod system of claim 62,  
      wherein said post is symmetric about a longitudinal axis, said longitudinal  
      axis extending longitudinally and centrally through said post.

64. (Currently Amended) The bone fixation apparatus for a rod system of claim 62,  
      wherein said post is symmetric about a longitudinal plane, said longitudinal  
      plane extending through the entirety of a longitudinal axis extending  
      longitudinally and centrally through said post.

65. (Currently Amended) The bone fixation apparatus for a rod system of claim 62, wherein said post is asymmetric about a longitudinal axis, said longitudinal axis extending longitudinally and centrally through said post.
66. (Currently Amended) The bone fixation apparatus for a rod system of claim 62, wherein said post is asymmetric about a longitudinal plane, said longitudinal plane extending through the entirety of a longitudinal axis extending longitudinally and centrally through said post.
67. (Previously Presented) The bone fixation apparatus for a rod system of claim 62, wherein said one or two orientations are also proper orientations to align said male threads with said female threads.

1       68. (Currently Amended) A bone fixation apparatus for a rod system comprising:  
2           a rod having a first dimension;  
3           a bone anchor having a first end for engaging bone, and a second end having a  
4           housing provided with an interior surface, wherein said interior surface is  
5           provided with female threads;  
6           a locking assembly having an upper cap and a lower cap;  
7           said housing axially receiving said locking assembly,  
8           said lower cap having a first end and a second end; wherein said first end is  
9           provided with a first orientation means for providing proper orientation  
10          between said housing and said lower cap, said second end is provided with a  
11          second orientation means for providing proper orientation between said lower  
12          cap and said upper cap, said second orientation means having an uninterrupted  
13          periphery;  
14          said first orientation means having a second dimension, wherein said first  
15          dimension is substantially equal to said second dimension;  
16          said upper cap having an outer surface and an opening, wherein said opening  
17          matingly engages said second orientation means, and said outer surface is  
18          provided with one or more portions of male threads; and  
19          wherein when said first orientation means is properly oriented with respect to said  
20          housing and said upper cap opening is properly oriented with respect to said  
21          second orientation means, said male threads will align with said female  
22          threads to prevent cross threading.